Oracle FLEXCUBE Core Banking

Security Management Reports Manual
Release 11.6.0.0.0

Part No. E65544-01

October 2015

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Security Management Reports Reports Manual
October 2015

Oracle Financial Services Software Limited
Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

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Table of Contents

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1. Preface

1.1. Intended Audience

This document is intended for the following audience:

- Customers
- Partners

1.2. Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

1.3. Access to OFSS Support

https://support.us.oracle.com

1.4. Structure

This manual is organized into the following categories:

Preface gives information on the intended audience. It also describes the overall structure of the Reports Manual

Introduction provides brief information on the overall functionality covered in the Reports Manual

Chapters are dedicated to individual reports and its details, covered in the Reports Manual

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1.5. Related Information Sources

For more information on Oracle FLEXCUBE Core Banking Release11.5.0.0.0, refer to the following documents:

- Oracle FLEXCUBE Core Banking Licensing Guide

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2. Security Management Reports

A report is a document that is illustrated with the help of information, and tailored to a given situation and audience. The primary purpose of a report is to provide information. However, reports may also include additional information, such as suggestions or conclusions that indicate possible future actions which the report reader might take. Reports can be public or private.

Advice is generally a written proof of a service performed, funds transferred, or a payment that is received or made. It can also be a recommendation that advises a customer about specific investment asset allocations based on age and circumstances.

Oracle Flexcube supports report generation in PDF, HTML and Excel formats. Based on the system configuration the reports can be generated in any of the above mentioned format.

> **Note** 1: Reports can be generated by using the **Report Request** (Fast Path: 7775) option. Reports can be viewed/printed using the **Advice/Report Status Inquiry** (Fast Path: 7778) option. The above screens can be accessed by navigating through the following path: **Transaction Processing** > **Internal Transactions** > **Reports**.
> **Note 2**: Report Codes precede the Report Names. Unlike the User Manual where the Fast Path preceding the Topic Title indicates the access for the screen, the Report Code is simply a unique code for the report.

Reports are categorized under:

- Adhoc Reports
- Batch Report

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2.1. Adhoc Reports

Adhoc reports are generated on demand or on request. Reports and advices can be requested from the Report Request screen. Adhoc reports can be viewed and generated using the teller login or the system operator login.

List of Adhoc Reports:

- SMS DAILY REPORTS

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2.1.1. SMS Daily Reports

The SMS Daily Reports includes reports specific to the user profiles that are maintained daily.

List of Customer Information Reports:

- SM103 - User Access Rights Report
- SM106 - User List
- SM120 - SMS Event Logs
- SM125 - Users Created / Deleted
- SM104-User Inactivity Report
- SM112 - List Of Disabled Users

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SM103 - User Access Rights Report

Access rights are provided to various **FLEXCUBE** users depending on the business requirement and control mechanism. Branch users carry out various activities which depend on the user level, rights and category assigned to them.

This report is a list of user access rights for the given range of User IDs. Each column in this report provides information about the Task ID, Task Description, Parent Code, Parent Description, Category, User ID, User Level, Name, and Branch Details are grouped User ID wise.

To generate the User Access Rights Report

1. Access the **Report Request** (Fast Path: 7775) screen.

2. Ensure that the **Adhoc Reports** button is selected.

3. Navigate through **Security Management > SMS Daily Reports > SM103 - User Access Rights Report**.

4. The system displays the **SM103 - User Access Rights Report** screen.

Field Description

Field Name	Description
From User ID	[Mandatory, Alphanumeric, Nine]
	Type the valid From User Id which the report needs to be generated.
	This Id should not be greater than the To User Id.

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Field Name	Description
To User ID	[Mandatory, Alphanumeric, Nine]
	Type the valid To User Id till which the report needs to be generated.
Waived Service Charge	[Optional, Check Box]
	Select the check box to waive the service charge.

5. Enter the appropriate parameters in the **SM103 - User Access Rights Report** screen.

6. Click the **Generate** button.

7. The system displays the message "Report Request Submitted". Click the **OK** button.

8. The system generates the **User Access Rights Listing Report**. For reference, a specimen of the report generated is given below:

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```
Bank    :     335      DEMO BANK                    FLEXCUBE                          Run Date  : 21/12/2009
Branch :      9999     DEMO                  USER ACCESS RIGHTS LISTING               Run Time  : 6:17 PM
Op. Id :      SYSADM01                         For:  31-Dec-2007                      Report No : SM103/ 1
_____
Task Id                  Task Description                           Parent Code       Parent Description
_____
Branch  :    9999             User Id.:    SYSADM01                 Category: SM            Level :   50
                              Name    :    First System Administrator
_____
7011                     Event Log Inquiry                          IT005             Inquiries
752                      Reset primary password                     IT016             Security
767                      Reset secondary password                   IT016             Security
768                      Change primary password                    IT016             Security
769                      Change secondary password                  IT016             Security
7775                     Report Request                             IT014             Reports
7778                     Advice/Report Status Enquiry               IT014             Reports
7781                     Advice/Report Cancel Request All Tellers   IT014             Reports
GD011                    Security                                   GD000             Global Definitions
IT014                    Reports                                    TPM08             Internal Transactions
IT016                    Security                                   TPM08             Internal Transactions
SM11A                    Currently logged in User list              GD011             Security
SMM16                    Reports Access Maintenance                 GD011             Security
SMM17                    Access Codes Maintenance                   GD011             Security
SMM18                    Access Domain Maintenance                  GD011             Security
SMM19                    Transaction Group Code Maintenance         GD011             Security
SMM21                    Template Transaction Field Xref            GD011             Security
TPM08                    Internal Transactions                      TP000             Transaction Processing
_____
                                        *** End of Report ***
```

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SM120 - SMS Event Logs

Bank required to have very strict control and vigilance in terms of access to the system. It is imperative for a bank to ensure that access to various modules/ transactions in is well controlled. To facilitate this control an Audit trail can be maintained for any task / transaction accessed by the user along with the terminal/machine where it has been performed. A log is then recorded and can be accessed by the bank at any future date.

Each column of the report provides information on User Id, Event Date and Time, Machine Name, Task Code, Task Description, and Action. Details are grouped branch wise.

To generate the SMS Event Logs Report

1. Access the **Report Request** (Fast Path: 7775) screen.

2. Ensure that the **Adhoc Reports** button is selected.

3. Navigate through **Security Management > SMS DAILY REPORTS > SM120 - SMS Event Logs**.

4. The system displays the **SM120 - SMS Event Logs** screen.

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Field Description

Field Name	Description
From User ID	[Mandatory, Alphanumeric, Nine]
	Type the valid From User Id which the report needs to be generated.
	This Id should not be greater than the To User Id.
To User ID	[Mandatory, Alphanumeric, Nine]
	Type the valid To User Id till which the report needs to be generated.
Waived Service Charge	[Optional, Check Box]
	Select the check box to waive the service charge.

5. Enter the appropriate parameters in the **SM120 - SMS Event Logs** screen.

6. Click the **Generate** button.

7. The system displays the message "Report Request Submitted".

8. Click the **OK** button.

9. The system generates the **SMS Event Logs Report**.

To view and print the SMS Event Logs Report

1. Access the **Advice/Report Status Enquiry** (Fast Path: 7778) screen.

2. Select the check box corresponding to **SM120 - SMS Event Logs**.

3. Click the **View** button to view the report.

4. The system displays the **SMS Event Logs Report** screen.

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```
Bank  :        9199  DEMO                        FLEXCUBE              Run Time :      08:44PM2009
Op. Id :       SYSOPER                      AUDIT TRAIL REPORT        Report No:      SM120/1
                                            For:   31-Dec-2007


      User Id        Event Date and Time        Machine Name        Task Code        Task Description        Action


      Branch :



                                          *** No data for this Report ***
```

14

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5. On the **File** menu, click **Print**.

6. The system displays the **Print** dialog box.

7. Select the appropriate parameters and click the **OK** button.

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SM125 - Users Created / Deleted

Users are created in the system to perform various financial and non financial activities. According to the business requirement and control mechanism, user profiles are assigned to the tellers. Branches also delete users from the system in case of retirement, etc. This report is a list of users created/deleted by maker, and authorised by checker.

This is a list of users created or deleted for the given period. Each column of the report provides information about User Number, User ID, User Name, Action, Action Date, Maker ID and Checker ID.

To generate the Users Created / Deleted Report

1. Access the **Report Request** (Fast Path: 7775) screen.

2. Ensure that the **Adhoc Reports** button is selected.

3. Navigate through **Security Management > SMS DAILY REPORTS > SM125 - Users Created / Deleted**.

4. The system displays the **SM125 - Users Created / Deleted** screen.

Field Description

Field Name	Description
From Date[DD/MM/YYYY]	[Mandatory, dd/mm/yyyy]
	Type the valid start date for the report.
	This date should not be greater than To Date.

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Field Name	Description
To Date[DD/MM/YYYY]	[Mandatory, dd/mm/yyyy] Type the valid end date for the report.
Waived Service Charge	[Optional, Check Box] Select the check box to waive the service charge.

5. Enter the appropriate parameters in the **SM125 - Users Created / Deleted** screen.
6. Click the **Generate** button.
7. The system displays the message "Report Request Submitted".
8. Click the **OK** button.
9. The system generates the **Users Created / Deleted Report**.

To view and print the Users Created / Deleted Report

1. Access the **Advice/Report Status Enquiry** (Fast Path: 7778) screen.
2. Select the check box corresponding to **SM125 - Users Created / Deleted**.
3. Click the **View** button to view the report.
4. The system displays the **Users Created / Deleted Report** screen.

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```
Bank         : 1  DEMO BANK                    FLEXCUBE                      Run Date  : 27-03-2009
Branch       : 9999 DEMO                 USERS CREATED / DELETED             Run Time  : 04:17 pm
Op. Id       : SYSADM02              From : 01-Jan-2009   to : 31-Dec-2009   Report No : SM125/Page -1 of 1
-----------------------------------------------------------------------------------------------------------
User No        User ID       User Name        Action        Action Date        Maker ID            Checker ID
-----------------------------------------------------------------------------------------------------------
               SUDAY         First Supervisor   Added
   2121                                                      10-03-200        SYSADM01            SYSADM02


               SYSNEHA       sys adm neha       Added
   6005                                                      12-03-200        SYSADM01            SYSADM02


               TPROFILE      Profile Date       Added
   6007                                                      16-03-200        SYSADM01            SYSADM02


               TUDAY         First Teller       Added
   2120                                                      10-03-200        SYSADM01            SYSADM02

-----------------------------------------------------------------------------------------------------------
                                         *** End of Report ***
```

18

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5. On the **File** menu, click **Print**.

6. The system displays the **Print** dialog box.

7. Select the appropriate parameters and click the **OK** button.

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SM104 - User Inactivity Report

This report is a list of users who are inactivate for a period of time. Each column in this report provides information about the Branch, User ID, User Name, Last Sign On and Remarks.

To generate the User Inactivity Report

1. Access the **Report Request** (Fast Path: 7775) screen.

2. Ensure that the **Adhoc Reports** button is selected.

3. Navigate through **Security Management > SMS Daily Reports > SM104 - User Inactivity Report**.

4. The system displays the **SM104 - User Inactivity Report** screen.

Field Description

Field Name	Description
Enter No. of Days	[Optional, Numeric, Nine] Type the number of days to generate the user inactivity report.
Waived Service Charge	[Optional, Check Box] Select the check box to waive the service charge.

20

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5. Enter the appropriate parameters in the **SM104 - User Inactivity Report** screen.

6. Click the **Generate** button.

7. The system displays the message "Report Request Submitted". Click the **OK** button.

8. Click the **OK** button.

9. The system generates the **SMS Event Logs Report**.

To view and print the User Inactivity Report

1. Access the **Advice/Report Status Enquiry** (Fast Path: 7778) screen.

2. Select the check box corresponding to **SM104 - User Inactivity Report**.

3. Click the **View** button to view the report.

   The system displays the **User Inactivity Report** screen.

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```
Bank    :240   Demo BANK LTD                        F L E X C U B E                      Run Date : 25-NOV-2010
Branch :240   WORLI - SANDOZ HOUSE          User Inactivity Report For100 or more days   Run Time : 3:35 PM
Op. Id :THERRICK                                     For 30-Sep-2010                      Report No : SM104/2
-----------------------------------------------------------------------------------------------------------------

 Branch  User Id        User Name                          Last Sign-On    Remarks
-----------------------------------------------------------------------------------------------------------------
   560   SYSSMS02       Second System Administrator        04-09-2008
   560   SYSSTL         First System Administrator         12-09-2008
   560   SYSSUPER       First Supervisor                   06-06-2008
   240   SYSTD          First System Administrator         05-09-2008
   560   SYSTELLER      First teller                       25-07-2008
   560   SYSTEM         SYSTEM                             25-07-2008      Profile Expired
   560   SYSVTELLER     Vault teller                       13-12-2007
   240   SYSVTELLER1    SUPER                              13-12-2007
   240   TABHIJIT       TABHIJIT                           31-12-1799      Primary Password Expired
   240   TBHUPEN        TBHUPEN                            31-12-1799      Primary Password Expired
   560   TBS_USER       TBS USER                           07-06-2008
   240   TDEVCASA2      First Teller                       16-05-2008
   240   TELANGO        TELANGO                            31-12-1799
   240   TGANESH        TGANESH                            16-05-2008
   240   THARI          THARI                              31-12-1799
   240   THARIKA        THARIKA                            16-05-2008
   240   TIGNORE        TIGONRE                            31-12-1799
   240   TJAGAN         TJAGAN                             31-12-1799
   240   TJYOTI         TJYOTI                             16-05-2008
   240   TKIRAN         Kiran                              31-12-1799
   240   TKUMUD         TKUMUD                             31-12-1799
   240   TMADHU         Madhusudhanan M                    16-05-2008
   240   TMALA          TMALA                              16-05-2008
   240   TMANISHA       TMANISHA                           31-12-1799
   240   TMUDIT         TMUDIT                             16-05-2008
   240   TNEW           TNEW                               31-12-1799      Primary Password Expired
   240   TRAJ           Raj                                31-12-1799
   240   TRIAZ          TRIAZ                              16-05-2008
   240   TSAGAR         TSAGAR                             16-05-2008
   240   TSAISH         TSAISH                             16-05-2008
   560   TSAISH560      SAISH 560                          31-12-1799
   240   TSAMEER        TSAMEER                            16-05-2008
   240   TSHAILEN       TSHAILEN                           31-12-1799      Primary Password Expired
   240   TSHANKAR       TSHANKAR                           31-12-1799      Primary Password Expired
   240   TSHIVANI       TSHIVANI                           31-12-1799
   240   TSHYAM         TSHYAM                             16-05-2008
   240   TTANMAY        TTANMAY                            31-12-1799
   560   TTESTKUMUD     ttestkumud                         31-12-1799
   240   TVIJAY         First teller                       13-09-2008
-----------------------------------------------------------------------------------------------------------------
                                             *** End of Report ***
```

22

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4. On the File menu, click Print.

5. The system displays the **Print** dialog box.

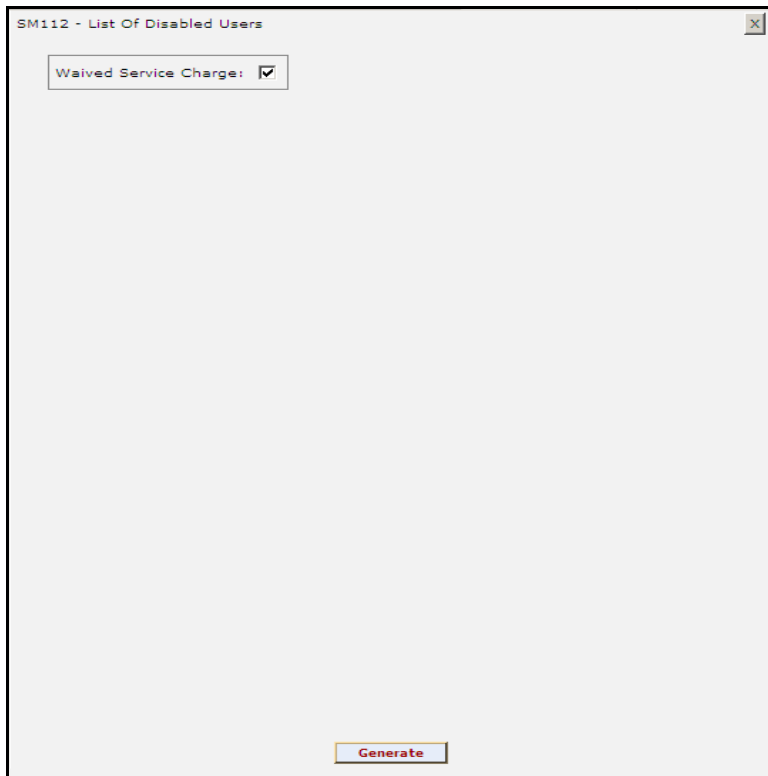6. Select the appropriate parameters and click the **OK** button.

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SM112 - List Of Disabled Users

The system may disable certain user ID's due to wrong entry of password. This report is used by the systems administrator to keep track of such occurrences.

Each column in this report provides information about the User Number, User ID, User Name, and Cost Center.

To generate the List Of Disabled Users

1. Access the **Report Request** (Fast Path: 7775) screen.

2. Ensure that the **Adhoc Reports** button is selected.

3. Navigate through **Security Management > SMS Daily Reports > SM112 - List Of Disabled Users**.

4. The system displays the **SM112 - List Of Disabled Users** screen.

5. By default, the **Waived Service Charge** check box is selected. Clear the check box if you want to apply the service charge for the report.

6. Click the **Generate** button.

7. The system displays the message "Report Request Submitted". Click the **OK** button.

8. The system generates the **List Of Disabled Users**. For reference, a specimen of the report generated is given below:

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```
--------------------------------------------------------------------------------
Bank    :240 DEMO BANK              FLEXCUBE           Run Date : 02  -FEB-2011
Branch :534 DEMO          CLEARI LIST OF DISABLED USERS  Run Time : 10:58 AM
Op. Id :TSYSADM534               As on 01 -Jan-2010       Report No: SM112/1
--------------------------------------------------------------------------------


User No.  User Id      User Name                              Cost Center


--------------------------------------------------------------------------------
130       SHDFC9       First Supervisor                       534
--------------------------------------------------------------------------------
                         *** End of Report ***
```

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2.2. Batch Reports

Batch reports are automatically generated at the end of day (EOD) or beginning of day (BOD). Reports and advices can be requested from the **Report Request** (Fast Path - 7775) screen. Batch reports can only be generated using the system operator login.

The operator must run the cut-off process at the end of every day, before starting the end of the day for that day. This is to ensure that all transactions done through the on-line delivery channels are handed over to a log for batch processing. A fresh log is created for the next day's transactions. Here it is important to note that while the processing date from the point of view of EOD processing is still today, the on-line processing date has moved to the next processing date and the online transactions done after cut-off will be processed in the next day's EOD.

EOD refers to the processing required to be done for each functional module of **FLEXCUBE Retail** as well as some files that are generated for updating data in other local offices. The EOD Client option is used to take care of the system related batch processes of cutoff, BOD, EOD, monthly report generation and printing, file hand off, eligibility of the Relationship Pricing (RP), etc.

Beginning of the Day (BOD) process opens a new transaction day for the Bank. BOD as a process depends on EOD process for the previous working day. This means, if the EOD is not completed for a day, system will not allow the BOD for the next day to start.

List of Batch Reports:

- SMS DAILY REPORTS

Access

- Fast Path: EOD10
- Operator Process > EOD/BOD Operations > EOD Processing

To generate batch reports

1. Take Pre Cutoff Backup before processing the EOD.
2. Log in to the **FLEXCUBE Retail** application with a valid System Operator Login ID.
3. The **FLEXCUBE Retail** window appears.
4. Access the **EOD Client** (Fast Path: EOD10) screen.

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Field Description

Field Name	Description
Process Category	[Mandatory, Drop-Down]
	Select the category of the process to be performed.
	The options are:
	• End of Day: It is a process where a fixed set of shell or functionality are processed. A proper handoff of GL's to **FLEXCUBE** Corporate, Consolidation etc. is done in the EOD process. Interest related processing, GL Updations, Draw-Down, Sweep-Outs, TD part redemption due to Sweep-In instructions, report related processing are done during the EOD processing.
	• Beginning Of Day: It is process where in some predefined functions are processed. The user can login in the system after the BOD is completed. The validation for BOD is that the previous day EOD should be completed. For e.g. TD Maturity, Standing Instruction (SI) Execution, etc.
	• Cutoff: It is a process where the process date of the system is changed to the next working day as maintained in the bank calendar. All the transactions entered in the system, till the point of cutoff, are picked up for EOD processing. The validation for cutoff is that the previous day BOD should be completed.

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Field Name	Description
	- Transfer DB Scripts: This process was used earlier. - Apply DB Scripts: This process was used earlier. - Process CIF Handoff: This process is used to download the customers and related tables which are opened in other branch to the base branch of the customer. The validation for CIF handoff is that the previous day BOD should be completed. - Schedule Extracts: It is a processed to extract specific schedule and to have a proper handoff to the interface. - MONTHLY STATEMENTS: This process is used to generate the customer monthly statement in order to stream line the time during the actual EOM process. This process is run separately. This process can be run even after the BOD process next day. - Handoff After EOD: It is a processed to extract specific schedule and to have a proper handoff to the interface. - Elig Evaluation: It is a processed to evaluate the eligibility of the RVT schemes. - File Handoff: It is a processed to extract specific schedule and to have a proper handoff to the interface. - Automatic EFS for Converted Loan: This process is used to close the loan accounts with **Automatic EFS Date** falling on running day. If running of this process is skipped on a particular day, such accounts falling due for automatic closure on that day will be picked on next working day process. Future dated closure or back dated closure is not be supported. If the automatic closure date falls on a holiday, then such accounts will be picked up on the next working days process. All accounts attempted for such system initiated closure will be marked as **Tried** for both successful and failure cases. The accounts which are marked as **Tried** will not be picked up for further retries when the process is attempted at the later dates. - Mark for Write Off: In this process system displays the "Accounts marked for write off are pending processing. Cannot proceed" message for the account which are marked for write off for which the write off process has not been executed. If there are no accounts marked for write off, **FLEXCUBE** will start the process of marking accounts for write off based on the parameters defined. The system displays the message "SUCCESS MESSAGE" after the process is completed. - Automatic Write Off: In this process system displays the "No accounts marked for write off, Cannot Proceed" message if no account is write off for which the write off process. Accounts manually marked for write off will be fully written off irrespective of the present Credit Risk

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Field Name	Description
	Rating (CRR) status or the days past due. If the accounts are marked for write off, **FLEXCUBE** will write off the accounts if the accounts meet the eligibility criteria as on the date of write off. Accounting entries including off balance sheet entries will be passed by the system pertaining to written off accounts. The system displays the message "SUCCESS MESSAGE" after the process is completed.
Category Status	[Mandatory, Drop-Down]
	This field displays the status of the selected category. The status can be as follows:
	• Yet to Start
	• Started
	• Aborted
	• Completed
Process Date	[Mandatory, Pick List, dd/mm/yyyy]
	Select the process date from the calendar.
	By default, this field displays the current process date for the selected process.
Next Process Date	[Mandatory, Pick List, dd/mm/yyyy]
	Select the next process date from the calendar.
	By default, this field displays the next logical working day on which the process has to be run.

Column Name	Description
State	[Display]
	This column displays a different colour for different process state.
	The different colour displayed are:
	• Green - Run
	• Red - Aborted
	• Default - Other Status (Complete, Yet to Start)
Process Name	[Display]
	This column displays the name of different processes which are performed.
Module Code	[Display]
	This column displays the code of the module on which the process is performed.

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Column Name	Description
Status	[Display] This column displays the status of the process performed. The status can be as follows: • Yet to Start • Started • Aborted • Completed
Duration	[Display] This column displays the duration for which the process was running, or when was the process completed.

5. Select **Cutoff** from the **Process Category** drop-down list.

6. Select the appropriate parameters in the **EOD Client** screen.

7. Click the **Start** button to start the cutoff process.

8. On successful completion of cutoff process, the system displays the message "Category Successfully Completed".

9. Click the **OK** button.

10. Select **End of Day** from the **Process Category** drop-down list.

11. Click the **Start** button to start the EOD process.

12. On successful completion of EOD process, the system displays the message "Category Successfully Completed" and saves the reports in a folder in an appropriate location. For e.g. /home/wasadmin/USGapsSTR1/flexcube/host/runarea/rjsout.

> **Note**: Once this is done, the operator should logout completely out of the system and login again. After the EOD Console is brought up again, the operator must check the process date displayed at the bottom right hand corner of the status bar below. The date should have moved to the next process date.

13. Take POSTEOD Backup for that process date before processing the BOD.

14. Select **Beginning of Day** from the **Process Category** drop-down list.

15. Click the **Start** button to start the EOD process.

16. On successful completion of BOD process, the system displays the message "Category Successfully Completed" and saves the reports in a folder in an appropriate location. For e.g. /home/wasadmin/USGapsSTR1/flexcube/host/runarea/rjsout.

17. Click the **OK** button.

18. Take POSTBOD Backup after executing the BOD.

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2.2.1. SMS DAILY REPORTS

The SMS Daily Reports includes reports specific to the user profiles that are maintained daily.

List of SMS Daily Reports

- SM117 - User Profile Maintained Today
- SM120 – SMS Event Logs

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SM117 - User Profile Maintained Today

To carry out various activities in the system, users are added by the bank. Similarly, user profile modification/deletion is also performed according to the defined roles and business requirement. Any changes performed to the user profiles are logged and are reported end of the day.

This report is a list of user profiles maintained for the day. The lists of users along with the actions performed are listed in this report. Each column in this report provides information about User Number, User ID, User Name, Action, Action Date, Maker ID, and Checker ID.

Frequency

- Daily (EOD)

To view and print User Profile Maintained Today Report

1. Access the **Report Request** (Fast Path: 7775) screen.

2. Select the **Batch Reports** button.

3. Navigate through **Security Management > SMS DAILY REPORTS > SM117 - User Profile Maintained Today**.

4. The system displays the **SM117 - User Profile Maintained Today** screen.

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Field Description

Field Name	Description
Process Date[DD/MM/YYYY]	[Mandatory, dd/mm/yyyy]
	Type the date for which the report is processed.
	By default, the system displays the current process date.
Branch Code	[Mandatory, Drop-Down]
	Select the code of the branch for which the report needs to be viewed from the drop-down list.

5. Enter the appropriate parameters in the **SM117 - User Profile Maintained Today** screen.

6. Click the **View** button to view the report.

7. The system displays the **User Profile Maintained Today Report** screen.

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```
                              FLEXCUBE
Bank   : Demo bank                                          Run Date   :   16/04/09
                       USER PROFILE MAINTAINED TODAY
Branch : 9999    Demo                                       Run Time   :   8.02:35
Op. Id : SYSOPER             For : 31-Jan-2009             Report No  :   SM117/1
```

User No	User ID	User Name	Action	Action Date	Maker ID	Checker ID
70097	TR1	REPORTS TESTING	Added	16/04/09	SYSCASA	SYSADM01
70098	TR2	Reports Testing	Added	16/04/09	SYSCASA	SYSADM01
%%SM117.out,	9999					

*** End of Report ***

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8. Select the **Print** option from the **File** menu.

9. The system displays the **Print** dialog box.

10. Select the appropriate parameters and click the **OK** button.

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SM120 – SMS Event Logs

Bank required to have very strict control and vigilance in terms of access to the system. It is imperative for a bank to ensure that access to various modules/ transactions in is well controlled. To facilitate this control an Audit trail can be maintained for any task / transaction accessed by the user along with the terminal/machine where it has been performed. A log is then recorded and can be accessed by the bank at any future date.

Each column of the report provides information on User Id, Event Date and Time, Machine Name, Task Code, Task Description, and Action. Details are grouped branch wise.

Frequency

- Daily (EOD)

To view and print SMS Event Logs Report

1. Access the **Report Request** (Fast Path: 7775) screen.

2. Select the **Batch Reports** button.

3. Navigate through **Security Management > SMS DAILY REPORTS > SM120 – SMS Event Logs.**

4. The system displays the **SM120 – SMS Event Logs** screen.

SM120 - SMS Event Logs	
Process Date[DD/MM/YYYY] :	31/12/2007
Branch Code	Malang

View

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Field Description

Field Name	Description
Process Date[DD/MM/YYYY]	[Mandatory, dd/mm/yyyy] Type the date for which the report is processed. By default, the system displays the current process date.
Branch Code	[Mandatory, Drop-Down] Select the code of the branch for which the report needs to be viewed from the drop-down list.

5. Enter the appropriate parameters in the **SM120 – SMS Event Logs** screen.

6. Click the **View** button to view the report.

7. The system displays the **SMS Event Logs Report** screen.

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```
Bank :        9199  DEMO                        FLEXCUBE              Run Time :    08:44PM2009
Op. Id :      SYSOPER                       AUDIT TRAIL REPORT        Report No:    SM120/1
                                            For:   31-Dec-2007


User Id       Event Date and Time         Machine Name      Task Code     Task Description        Action


Branch :



                                  *** No data for this Report ***
```

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8. On the **File** menu, click **Print**.

9. The system displays the **Print** dialog box.

10. Select the appropriate parameters and click the **OK** button.

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SM105 - Password Expiry Report

This report displays the list of users whose primary or secondary password has expired. This will be used by the system administrator to alert the respective users and to keep a log of updates.

Each column in this report provides information about User Number, User ID, User Name, Template, Employee Code, Last Password Change Date Primary/ Secondary, Inputter, Next Password Change Date Primary/ Secondary, Department Code, and Authoriser.

Frequency

- Daily (EOD)

To view and print Password Expiry Report

1. Access the **Report Request** (Fast Path: 7775) screen.

2. Select the **Batch Reports** button.

3. Navigate through **Security Management > SMS DAILY REPORTS > SM105 - Password Expiry Report.**

4. The system displays the **SM105 - Password Expiry Report** screen.

SM105 - Password Expiry Report

Process Date[DD/MM/YYYY] : 31/10/2010
Branch : TULSIANI - MUM

View

ORACLE

Field Description

Field Name	Description
Process Date[DD/MM/YYYY]	[Mandatory, dd/mm/yyyy] Type the date for which the report is processed. By default, the system displays the current process date.
Branch Code	[Mandatory, Drop-Down] Select the branch for which the report needs to be viewed from the drop-down list.

5. Enter the appropriate parameters in the **SM105 - Password Expiry Report** screen.

6. Click the **View** button to view the report.

7. The system displays the **Password Expiry Report** screen.

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```
Bank    :  240  DEMO BANK LTD                       FLEXCUBE                           Run Date : 16-FEB-2011
Branch :                                        Password Expiry Report                 Run Time : 13:45 AM
Op. Id :  SYSOPER                                 As on 30-May-2010                     Report No: SM105/1
----------------------------------------------------------------------------------------------------------
User No User ID      User Name                          Template  Emp Code Last Pwd Chg Date   Inputter   Next Pwd Chg Date
                                                              Dept code Primary              Authoriser  Primary
                                                                       Secondary                         Secondary
----------------------------------------------------------------------------------------------------------

    9   C08621001    CHARU BHATIA INP RET INDERPURI         21  C0862    03-Aug-2009  5:25 SYSADMI1001  02-Sep-2009  5:25
                                                               12         -   -       :   SYSADMII1001  -   -        :
    4   OPER1001     OPERATOR RET INDERPUR                   0  1001o      -   -       :   26261240     15-Oct-2008 12:00
                                                               12         -   -       :   V2180240      -   -        :
   15   P39801001    PAWAN KUMAR BAJPAI INQ RET SAL INDERPURI 27 P3980    12-Aug-2009  1:27 SYSADMI1001  11-Sep-2009  1:27
                                                               30         -   -       :   SYSADMII1001  -   -        :
    5   VTELLER1001  VAULT TELLER RET INDERPUR               0  1001v      -   -       :   26261240     15-Oct-2008 12:00
                                                               12         -   -       :   V2180240      -   -        :
----------------------------------------------------------------------------------------------------------

                                              * * * End Of Report * * *
```

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8. Select the **Print** option from the **File** menu.
9. The system displays the **Print** dialog box.
10. Select the appropriate parameters and click the **OK** button.

ORAC

SM108a - SECURITY MANAGER DAILY ACTIVITY REPORT

The systems administrator needs to access certain system logs to track transactions performed across branches for compliance and related activities. This report is a log of the following activities.

1. Template Profile Add/ Amend/Cancel/Delete/Authorise

2. User Profile Add/Modify/Delete/Amend/Cancel/Authorize

3. Template Access Rights Selected Delete SM063

4. Template Access Rights Add

5. User Class Access Rights Add

This report provides details on - User ID, User No., User Name, Emp Code, Department Code, Date, Key Field, Task Code, Task Description

Frequency

- Daily EOD

To view and print SECURITY MANAGER DAILY ACTIVITY REPORT

1. Access the **Report Request** (Fast Path: 7775) screen.

2. Select the **Batch Reports** button.

3. Navigate through **Security Management > SMS DAILY REPORTS > SM108a - SECURITY MANAGER DAILY ACTIVITY REPORT.**

4. The system displays the **SM108a - SECURITY MANAGER DAILY ACTIVITY REPORT** screen.

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SM108a - SECURITY MANAGER DAILY ACTIVITY REPORT

Process Date[DD/MM/YYYY] : 30/06/2010

Branch : TULSIANI - MUM

View

Field Description

Field Name	Description
Process Date[DD/MM/YYYY]	[Mandatory, dd/mm/yyyy] Type the date for which the report is processed. By default, the system displays the current process date.
Branch Code	[Mandatory, Drop-Down] Select the code of the branch for which the report needs to be viewed from the drop-down list.

5. Enter the appropriate parameters in the **SM108a - SECURITY MANAGER DAILY ACTIVITY REPORT** screen.

6. Click the **View** button to view the report.

7. The system displays the **SECURITY MANAGER DAILY ACTIVITY REPORT** screen.

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```
Bank   :240  DEMO BANK                              FLEXCUBE                          Run Date  : 09   -FEB-2011
Branch :560  DEMO                         Security Manager Daily Activity Report      Run Time  : 7:02 PM
Op. Id :SYSOPER                                  For 31  -Mar-2010                     Report  No:   1
--------------------------------------------------------------------------------------------------------------

User Id              User No     User Name                            Emp Code   Dept Code   Date
Key Field            Task Code   Task Description
--------------------------------------------------------------------------------------------------------------
TSYSADM534           141         First Teller                         11111        0         09   -FEB-2011 00:00
114                  SMM01       Template Profile Add


TSYSADM534           141         First Teller                         11111        0         09   -FEB-2011 00:00
115                  SMM01       Template Profile Add


TSYSADM534           141         First Teller                         11111        0         09   -FEB-2011 00:00
THDFC11|SMS          SMM12       User Class Access Rights Add


TSYSADM534           141         First Teller                         11111        0         09   -FEB-2011 00:00
THDFC10|SMS          SMM12       User Class Access Rights Add


TSYSADM534           141         First Teller                         11111        0         09   -FEB-2011 00:00
113                  SMM01       Template Profile Add


--------------------------------------------------------------------------------------------------------------

                              *** End of Report ***
```

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8. Select the **Print** option from the **File** menu.

9. The system displays the **Print** dialog box.

8. Select the appropriate parameters and click the **OK** button.

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